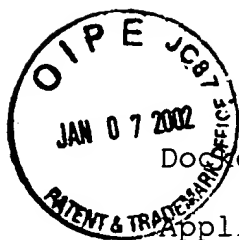


Receipt



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Document No. 9391.10

Application of

Paul H. Phibbs, Jr.

Serial No. 09/943,060

Group Art Unit: 2164

Filed: August 30, 2001

Examiner: Unknown

For: **CAPITAL ALLOCATION IN A NET INTEREST REVENUE  
IMPLEMENTATION FOR FINANCIAL PROCESSING IN A RELATIONAL  
DATABASE MANAGEMENT SYSTEM**

Assistant Commissioner  
for Patents

Washington, D.C. 20231

**CERTIFICATE OF MAILING  
(37 CFR 1.8a)**

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

10-24-01  
Date

Sallie Spicer  
Sallie Spicer

Attn: Application Processing Division  
Customer Correction Branch

Sir:

**RECEIVED**  
JAN 16 2002  
Technology Center 2100

**REQUEST FOR CORRECTED FILING RECEIPT**

Please be advised that there is an error on the filing receipt for the above patent application with respect to the following data, which is incorrectly entered:

Title

CAPITAL ALLOCATION IN  
A NET INTREREST REVENUE  
IMPLEMENTATION FOR  
FINANCIAL PROCESSING IN  
A RELATIONAL DATABASE  
MANAGEMENT SYSTEM

Correct Title

CAPITAL ALLOCATION IN  
A NET INTEREST REVENUE  
IMPLEMENTATION FOR  
FINANCIAL PROCESSING IN  
A RELATIONAL DATABASE  
MANAGEMENT SYSTEM

We have enclosed a copy of the official filing receipt from the Patent and Trademark Office in the above application. We have also enclosed a copy of the original Patent Application Transmittal which includes the date this application was mailed by certification under 37 CFR 1.10 to the Patent and Trademark Office.

Issuance of a corrected filing receipt is respectfully requested.

Please charge any fee associated with the filing of this Request or any additional fees due to the account of NCR Corporation, Deposit Account No. 50-1673.

Respectfully submitted,



Attorney for Applicant(s)

James M. Stover

Reg. No. 32,759

(937) 445-7663

Dayton, Ohio



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/943,060	08/30/2001	2164	710	9391	4	15	3

CONFIRMATION NO. 8739

## FILING RECEIPT



James M. Stover  
NCR Corporation Department WH-64V  
1700 S. Patterson Blvd.  
Dayton, OH 45479



RECEIVED  
OCT 15 2001  
LAW DEPARTMENT

Date Mailed: 10/09/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Paul H. Phibbs JR., Escondido, CA;

## Assignment For Published Patent Application

NCR Corporation;

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/253,254 11/27/2000  
AND CLAIMS BENEFIT OF 60/253,281 11/27/2000  
AND A CIP OF 09/608,355 06/29/2000  
WHICH IS A CIP OF 09/610,646 06/29/2000

## Foreign Applications

If Required, Foreign Filing License Granted 10/09/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

Title

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JAN 16 2002

Technology Center 2100

Capital allocation in a net interest revenue implementation for financial processing in a relational database management system

Preliminary Class

705

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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Bib Data Sheet

CONFIRMATION NO. 8739

<b>SERIAL NUMBER</b> 09/943,060	<b>FILING DATE</b> 08/30/2001 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 2164	<b>ATTORNEY DOCKET NO.</b> 9391	
<b>APPLICANTS</b> Paul H. Phibbs JR., Escondido, CA;					
<b>** CONTINUING DATA *****</b> THIS APPLN CLAIMS BENEFIT OF 60/253,254 11/27/2000 AND CLAIMS BENEFIT OF 60/253,281 11/27/2000 AND A CIP OF 09/608,355 06/29/2000 WHICH IS A CIP OF 09/610,646 06/29/2000					
<b>** FOREIGN APPLICATIONS *****</b>					
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> <b>** 10/09/2001</b>					
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after allowance Verified and Acknowledged _____ Examiner's Signature _____ Initials _____		<b>STATE OR COUNTRY</b> CA	<b>SHEETS DRAWING</b> 4	<b>TOTAL CLAIMS</b> 15	<b>INDEPENDENT CLAIMS</b> 3
<b>ADDRESS</b> James M. Stover NCR Corporation Department WHQ4W 1700 S. Patterson Blvd. Dayton ,OH 45479					
<b>TITLE</b> Capital allocation in a net interest revenue implementation for financial processing in a relational database management system					
<b>FILING FEE RECEIVED</b> 710	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		